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Jubac	idio (0, 101111 14431 10	Application Number	09/688,983	
1811	FORMATION DISCLOSURE	Filing Date	10/17/2000	
STATEMENT BY APPLICANT (Use as many sheets as necessary)		First Named Inventor	Jeff S. Eder	
		Art Unit	3628	
		Examiner Name	Harish T. Dass	
eet	of	Attorney Docket Number	AR - 12	

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			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (I known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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6 Applicant is to place a check mark here if English language Translation is attached.

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(Use as many sheets as necessary)		Examiner Name	Harish T. Dass			
Sheet	of		Attorney Docket Number	AR - 12		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Substitute for form 1449/PTO

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number 09/688,983					
Filing Date	10/17/2000				
First Named Inventor	Jeff S. Eder				
Art Unit	3628				
Examiner Name	Harish Dass				
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Examiner Signature	Harish	Dasi	Date Considered	11-14-07

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Substitute for form 1449/PTO		Complete if Known		
Substitute for form (443) 10	Application Number	09/688,983		
INFORMATION DISCLOSURE	Filing Date	10/17/2000 ·		
STATEMENT BY APPLICANT	First Named Inventor	Jeff S. Eder		
(No. or ways shoots or personal	Art Unit	3628		
(Use as many sheets as necessary)	Examiner Name	Harish Dass		
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HTD		Anonymous, "Survey: Too Clever by Half", The Economist, January 24, 2004	
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Examiner Signature	Harish Dass	Date Considered	11-14-05

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Application Number 09/688,983 Filing Date 10/17/2000 First Named Inventor Jeff S. Eder Art Unit 3628 Examiner Name Harish T. Dass Attorney Docket Number VM - 12

Sheet 1 U.S. PATENT DOCUMENTS Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear Name of Patentee or Examin Initials* Document Number Publication Date MM-DD-YYYY Applicant of Cited Document Number-Kind Code^{2 (4 known)} US- 5,224,034 - B1 06-29-1993 Katz, Paul HTD ^{US-} 5,237,946 - B1 08-17-1993 Kagami, Akari US- 5,128,861 - B1 07-07-1992 Kagami, Akari ^{US-} 5,237,495 - B1 08-17-1993 Morii, Hideki ^{US-} 3,749,892 - B1 07-31-1973 Stenning, Patrick Jost, Allen et al US- 5,361,201 - B1 11-01-1994 US- 3,933,305 - B1 01-20-1976 Murphy, John Michael US- 4,989,141 - B1 01-29-1991 Lyons, Richard US- 5,317,504 - B1 Nakayama, Mamoru 05-31-1994 US- 5,414,621 - B1 05-09-1995 Hough, John ^{US-} 5,193,055 - B1 Brown, Gordon 03-09-1993 ^{US-} 5,809,282 - B1 Cooper, Dennis 09-15-1998 US- 5,875,431 - B1 02-23-1999 Heckman et al US- 5,737,581 - B1 04-07-1998 Keane, John US- 5,812,988 - B1 09-22-1998 Sandretto, Michael US- 6,125,355 - B1 09-26-2000 Bekaert et al Bosco et al ^{US-} 5,191,522 - B1 03-02-1993 ^{US-} 6,112,188 - B1 Harnett, William 08-29-2000 US- 6,134,536 - B1 10-17-2000 Shepherd, lan

Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
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HTD		EP 0 587 290 A2	03-16-1994	Powers et al		
H10		GB 2 253 081 A	02-19-1992	Kaisha, Kabushi		
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Complete if Known Substitute for form 1449/PTO Application Number 09/688,983 Filing Date 10/17/2000 INFORMATION DISCLOSURE First Named Inventor Jeff S. Eder STATEMENT BY APPLICANT Art Unit 3628 (Use as many sheets as necessary) **Examiner Name** Harish T. Dass Attomey Docket Number VM-12 Sheet 2

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Examiner Initials*	Cite No.	Document Number Number-Kind Code ^{2 (6 Anount)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Complete If Known Substitute for form 1449/PTO **Application Number** 09/688.983 INFORMATION DISCLOSURE Filing Date 10/17/2000 STATEMENT BY APPLICANT First Named Inventor Jeff S. Eder Art Unit 3628 (Use as many sheets as necessary) **Examiner Name** Harish T. Dass Sheet **Attorney Docket Number** VM - 12

Examiner	Cita	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
nitials*	Cite No. ¹	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	τ²
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y	10	HENDRIKSEN, ELDEN, Accounting theory, 1982, Richard D. Irwin	

Signature Narish Das Considered 11-14-67	Examiner Date Date
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nitiels*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	, T²
מדא	11	KULKARNI, ARUN; Artificial neural networks for image understanding; 1/1/1994; Van Norstrand Reinhold	
	12	WARD SYSTEMS GROUP; NeuroWindows User Manual; 1993; Wards Systems Group	
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	Examiner Signature	larish Dass	Date Considered	11-14-07
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	Application Number	09/688,983	
INFORMATION DISCLOSURE	Filing Date	10/17/2000	
STATEMENT BY APPLICANT	First Named Inventor	Jeff S. Eder	
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(Use as many sheers as necessary) ·	Examiner Name	Harish T. Dass	
Sheet 5 of 8	Attorney Docket Number	VM - 12	

			NON PATENT LITERATURE DOCUMENTS		
Exa Initia	miner als*	er Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), tit the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-is number(s), publisher, city and/or country where published.			
H 70		REILLY, ROBERT; Valuation of intangibles for bankruptcy and reorganization purposed 8/1/1994; Ohio		٠	
		22	LIEBICH, KIM; How to value a bank; 8/1/1995; ABA Banking Journal		
		23	BAUMANN, BARBARA H & OXAAL, MARJORIE R; Estimating the value of a group medical practice, a primer;		
		24	MAXSON, MARK; Will you get your money's worth?, 5/1/1993, Financial Executive		
		25	FRIEDMAN, RICHARD; Business valuation: calculating it right; 10/1/1994; Practical Accountant		
		26	MULLEN, MAGGIE; How to value intangibles; 11/1/1993; Accountancy		
		27	STEWART, THOMAS; Trying to grasp the intangible; 10/2/1995 Fortune		
		28	OUROSOFF, ALEXANDRA; What the world's top brands are worth; 9/1/1992; Finance World		
		29	PHILLIPS BUSINESS INFORMATION, INC.; Five ways to reduce risk with neural networks; 9/27/1993; Credi		
	V	30	LIPPITT, JEFFREY & MASTRACCHIO, NICHOLAS, Developing capitalization rates for valuing a business, 11		

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Sheet	6	of	8	Attorney Docket Number	VM - 12

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
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	32	MYERS, STEWART & HOWE, CHRISTOPHER; A life-cycle financial model of Pharmaceutical R&D 4/1/1997;MIT			
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	39	AMIR, ELI; & LEV, BARUCH, "Value-relevance of non-financial information", Journal of Accounting and			
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Examiner Signature	Harish Dass	Date Considered	11-14-07

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STATEMENT BY APPLICANT	First Named Inventor	Jeff S. Eder	
(Use as many sheets as necessary)	Art Unit	3628	
(Use as many sneets as necessary)	Examiner Name	Harish T. Dass	
Sheet 7 of 8	Attorney Docket Number	VM-12	

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	42	QUINN, JAMES BRIAN, Intelligent Enterprise, Free Press, 1992			
	43	KUEHNE, SVEN, et al, "SEQL: Category learning as progressive abstraction using structure mapping", 2			
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Sheet	8	10	8	Attorney Docket Number	VM - 12

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Initials*	No. the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T²
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	55	ALEXANDER, CAROL, Risk Management and Analysis, John Wiley & Sons, 1998	
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Examiner Harish Dass	Date Considered	11-14-07
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 Application Number
 09/688,983

 Filling Date
 10/17/00

 First Named Inventor
 Jeff S. Eder

 Art Unit
 3628

 Examiner Name
 Harish T. Dass

 Attorney Docket Number
 VM - 12

			U. S. PATENT	F DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (F krosert)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
H70		^{US-} 5,774,873 - B1	03-29-1996	Berent, Thomas	
		^{US-} 5,794,219 - B1	08-11-1998	Brown, Stephen	
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	Application Number	09/688,983
INFORMATION DISCLOSURE	· 1	10/17/2000
STATEMENT BY APPLICANT	First Named Inventor	Jeff S. Eder
(Use as many sheets as necessary)	Art Unit	3628
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Sheet of	Attorney Docket Number	VM - 12

•		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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		FORE	GN PATENT DOCU	MENTS		
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PTO/SB/088 (0R-03)

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09/688,983	Application Number	Supplicate for facility (44-44)			
 10/27/2000	Filing Date	INFORMATION DISCLOSURE			
 Jeff S. Eder	First Named Inventor	STATEMENT BY APPLICANT			
3628	Art Unit	(Use as many shoots as nacossary)			
Harish T. Dass	Examiner Name				
 AR - 12	Attorney Docket Number	of	Sheet		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
H 70		Barua, Anitesh; Lee, C.H. Sophie; Whinston, Andrew, "The Calculus of Reengineering", August 1994	
		Haesendonckx, Michel, "VBM - Value Driver Tree", June 8, 2005	
<u>U</u>		Chambers, Robert; Quiggins, John; "Resource Allocation and Asset Pricing", November 2002	
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Examiner Signature Harish Dass	Date Considered	15-14-07
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Substitute for form 1419/PTO	Fragular de la respondito a consecut	on of information unless it contains a valid OMB control number. Complete if Known
	Application Number	09/688,983
INFORMATION DISCLOSURE	Filing Date	10/27/2000
STATEMENT BY APPLICANT	First Named Inventor	Jeff S. Eder
(Use as many sheets as necessary)	Art Unit	3628
, cot so many change do not do day,	Examiner Name	Harish T. Dass

Attorney Docket Number

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	T ²	
Initials* No.		the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
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		MAIER, DAVID, "Database Desiderata for an XML Query Language"; W3.org, 1998		
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Ar.		GOLDFARB, CHARLES; & PRESCOD, PAUL; XML Handbook; Prentice Hall, 1998		
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Signature Harish Daes Considered 11-14-07	Examiner 11 Date
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		Application Number	09/688,983		
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		Art Unit	3628		
	(Uso os many sheets as necessary)	Examiner Name	Harish T. Dass		
Sheet	બ	Attorney Docket Number	AR - 12		

		NON PATENT LITERATURE DOCUMENTS	
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нто		Chicago Board of Trade, "SPAN Margin System", 8/27/1991	
1		Chicago Mercantile Exchange, "SPAN - Frequently Asked Questions", CME web site, 2/17/2008	

Examiner Signature	Harish Dass	Date Considered	11-14-07
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Complete if Known Substitute for form 1449/PTO Application Number 09/688,983 Filing Date 10/17/2000 INFORMATION DISCLOSURE First Named Inventor Jeff S. Eder STATEMENT BY APPLICANT Art Unit 3628 (Use as many sheets as necessary) Examiner Name Harish T. Dass Attorney Docket Number AR - 12 Sheet

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Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (4 known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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ſ		^{US-} 5,819,237 - B1	10-6-1998	Garman, Mark	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
		WO 98 38588	2-28-1997	Mayon & White		
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Signature	Harish Dan	Considered	11-14-07

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Attorney Docket Number

Sheet

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	DOWD, KEVIN; Beyond Value at Risk, John Wiley and Sons, Inc., 1998	
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	APPRAISAL STANDARDS BOARD; Uniform standards of professional appraisal practice, 1997	
	DELOITTE, Valuing Intangible Assets, January 2006	
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	Cite No.1	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. DOWD, KEVIN; Beyond Value at Risk, John Wiley and Sons, Inc., 1998 PFEFFER, AVI; Bayesian language for cumulative learning; AAII, 2000 APPRAISAL STANDARDS BOARD; Uniform standards of professional appraisal practice, 1997

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